# TASMANIAN LOCAL RULES OF RACING







TSD0048 - vs14

# TASMANIAN LOCAL RULES OF RACING

Contents	
LOCAL RULE 1	4
DEFINITIONS	4
LOCAL RULE 2	7
APPLICATION OF THE RULES	7
LOCAL RULE 3	8
INTERPRETATION OF THE RULES	8
LOCAL RULE 4	9
SPECIAL POWERS OF TASRACING, COMMITTEES, STIPENDIARY STEWARDS AND APPOINTMENT OF STIPENDIARY STEWARDS	9
LOCAL RULE 5	12
REGISTERED CLUBS	12
LOCAL RULE - 6	13
RACE MEETINGS	13
LOCAL RULE - 7	15
STABLE RETURNS	15
LOCAL RULE - 8	16
NOMINATIONS AND ENTRIES	16
LOCAL RULE - 9	17
WEIGHTS PENALTIES AND ALLOWANCES	17
LOCAL RULE - 10	19
DECLARATION OF ACCEPTANCE	19
LOCAL RULE - 11	21
BALLOTING CONDITIONS	21
LOCAL RULE - 12	24
BARRIER DRAW	24
LOCAL RULE - 13	25
DECLARATION OF RIDERS	25



15D0048 - VS14	
LOCAL RULE - 14	26
SCRATCHINGS AND TIME TO BE AT RACECOURSE	26
LOCAL RULE - 15	28
TRAINERS	28
LOCAL RULE - 16	32
JOCKEYS, APPRENTICES AND APPROVED TRIALS RIDERS	32
LOCAL RULE - 17	35
RIDING FEES	35
LOCAL RULE - 18	36
RIDERS AGENT	36
LOCAL RULE - 19	38
STABLE EMPLOYEES & TRACKWORK RIDERS	38
LOCAL RULE - 20	40
RACING COLOURS & ADVERTISING	40
LOCAL RULE - 21	42
OFFENCES	42
LOCAL RULE – 22	43
APPEALS	43
LOCAL RULE – 23	44
PRIZEMONEY	44
LOCAL RULE - 24	45
RULES OF BETTING	45
LOCAL RULE – 25	48
REGULATIONS CONTROLLING TASMANIAN RACE COURSES AND TRAINING TRACKS	48
LOCAL RULE – 26	51
TRANSFER OF OWNERSHIP	51



TSD0048 - vs14

# **LOCAL RULE 1**

#### **DEFINITIONS**

- "Apprentice" means a person indentured with Tasracing.
- "Approved Trials Rider" means a person approved by the Director to ride in trials in accordance with the Licensing Requirements.
- "AR" means an Australian Rule of Racing.
- "Australian Rules of Racing" means the Rules made by the Australian Racing Board.
- "Barrier Certificate" means a certificate issued by a starter or the Stewards stating that a horse is tractable when entering, standing in, jumping from starting stalls and competitive throughout the trial.
- "Business Day" means a day other than Saturday, Sunday or public holiday in Tasmania.
- "Committee" shall be as defined in AR.1.
- "Course" means any registered racecourse, training establishment or any land of which any Club or other authority has the control of management for racing or training purposes.
- "Director" means the Director of Racing as so appointed pursuant to the Racing Regulation Act 2004.
- "Employer" means someone who hires and/or pays wages to an individual or individuals who perform work.
- "Feature Race" means any race for which the total prize money (excluding bonuses and trophies is \$25,000 or greater.
- "Final Scratching Time" means 7.30am on that day.
- "Handicap" means a race in which the weights the horses are to carry are allocated according to the judgement of the person appointed to frame the handicap upon the merits of the horses for the purpose of equalising their chances of winning.
- "Investigators" means the person/s appointed by the ORI to investigate matters in relation to enforcement and compliance with these rules.
- "Jockey" means a person licensed by the Director in accordance with the Licensing Requirements.
- "Local Rules" means the Rules of Racing made by Tasracing and all modifications thereof and all new Rules of Racing made by such Board as therein provided.
- "LR" means a Local Rule.
- "Metropolitan/Provincial Area" means unless otherwise determined by the Principal Racing Authority a race meeting conducted at the following racecourses:
  - Hobart (UBET Park)
  - Launceston ( UBET Racing Centre)
  - Devonport (Spreyton)



TSD0048 - vs14

#### "Official" includes:

- (a) a Member of the Committee of a Racing Club;
- (b) a secretary, Steward, investigator, betting supervisor, veterinary surgeon, handicapper, judge, starter, clerk of the course, clerk of the scales, barrier attendant, employee, servant or agent of a Club or appointed by Office of Racing Integrity; and
- (c) any other person appointed by the Committee of a Racing Club or by Office of Racing Integrity to perform any service or act or to carry out any duty for a Racing Club.
- (d) Any employee of the Principal Racing Authority.
- "Official Daylight" means the hours between sunrise and sunset as declared by Tasmania's Bureau of Meteorology.
- "Provincial/Country Area" means unless otherwise determined by the Principal Racing Authority a race meeting conducted at the following racecourses:
  - Longford
  - King Island
- "Office of Racing Integrity" (ORI) means the body responsible for maintaining the probity and integrity of thoroughbred racing in Tasmania.
- "Registered Race Club" means a race club registered under the provisions of the Racing Regulation Act 2004, as amended.
- "Registered Racecourse" means a racecourse upon which a registered race club conducts its race meetings.
- "RISA (Trainer Service Centre)" means Racing Information Service Australia Pty Ltd which undertakes the role of processing racing information on behalf of Tasracing.
- "Stable Employee" means a person who has been granted registration by the Director to assist a licensed Trainer in the preparation of his racehorses.
- "Sweepstakes" means any race in which the stakes or any part thereof going to the winner and/or other horses in the race are to be made by the Owners of horses entered, although money or any other prize be added.
- "Tasmanian Racing Calendar" means the official publication of Tasracing Pty Ltd.
- "Tasracing" means Tasracing Pty Ltd
- "The Act" means the Racing Regulation Act 2004 as amended.
- "Trackwork Rider" means a person who has been granted a licence by the Director to perform trackwork riding duties.
- "**Trial**" means non-betting organised exhibitions or tests held for the purpose of testing or training horses on courses under such Rules and Regulations as Tasracing may prescribe.
- "Train" includes the preparation, education and exercising of a horse to race or trial.
- "Trainer" means a person who has been granted a licence by the Director to train race horses.



TSD0048 - vs14

"Training Establishment" shall include the term "Complex" and shall mean and refer to each training track and the facilities and lands appurtenant thereto, which are used by Trainers for the training of horses and shall furthermore include the place or places at which there are horses trained by or in the custody or control of a licensed Trainer or applicant for a Trainer's licence and the term shall extend to and include all places at which such Trainer or applicant shall store or keep all feed, additives, medicines, veterinary or other preparations used by him or which he has acquired for the purpose of feeding or administering to horses which he so trains or which are in his custody or control and shall also include the place or places at which he stores or keeps all gear and other equipment used by him in the training of horses.

"Warning Siren or Device" means a flashing light or siren used by the Stewards or Starter prior to or during an event.

"Weight for Age Race" means a race in which weights are apportioned to horses according to their age or sex or both, and remains a Weight for Age race even if:

- (a) There are penalties and allowances; or
- (b) The race is confined to horses of the same age.



TSD0048 - vs14

# **LOCAL RULE 2**

#### **APPLICATION OF THE RULES**

- 2.1. Any publication authorised by AR.7(j) shall not give rise to any course of action in respect of the matter thereof, and every person bound by or subject to the Tasmanian Rules of Racing shall be taken to have expressly authorised such publication or publications, and the act of the Stewards or Committee in complying with or giving effect to any one or more of the Tasmanian Rules of Racing shall not give rise to any course of action in respect of the matter thereof, and every such act shall be taken to have been expressly authorised by every such person as last aforesaid, and by every person bound by or subject to the Tasmanian Rules of Racing.
- **2.2.** Every person who shall take part in any matter or matters coming within the Rules shall be held and deemed to be held bound thereby and to have expressly debarred himself from any right of appeal to any court of law.
- **2.3.** The provisions of AR.202, 203, 204, 205 and 206 shall apply to any notice to be given or sent under the Tasmanian Rules of Racing.
- **2.4.** In any proceedings, the production of what purports to be a copy of the Rules shall be prima facie evidence of the making and passing thereof.
- 2.5. Tasracing may from time to time rescind or alter any of the Local Rules of Racing and make new Local Rules.
- 2.6. The Local Rules shall come into operation on the 1st day of December, 2009, and any other Rules of Racing previously in force shall be repealed as from that day and the provisions of Rules 4 and 5 of the Australian Rules of Racing shall apply mutatis mutandis to these Local Rules as if the same were expressly incorporated herein.



TSD0048 - vs14

# **LOCAL RULE 3**

#### INTERPRETATION OF THE RULES

- **3.1.** The Local Rules of Tasracing and the Australian Rules of Racing for the time being shall be read, interpreted, and construed together, and as so combined shall be known as "The Tasmanian Rules of Racing".
- 3.2. The Tasmanian Rules of Racing shall apply to all Racing Clubs, Courses and all persons and horses, and all matters and things whatsoever connected with racing, within the State of Tasmania, and they shall be binding on all Owners of, and all persons who shall enter or nominate any horse for any race, and on all persons who shall apply for any Licence or Certificate for the issue of which provision is made by these Rules and on all persons who shall apply for admission to any course on which any race meeting is held; and all such persons shall be bound by the decisions of the Stewards or the Committee of the Club, or of Tasracing as the case may be, and no such person shall institute or carry on any action, suit, or proceedings in any court against the Stewards or the Committee of the Club or Officers of any Club, or against the Members or Officers of Tasracing, the Printers or Publishers of, or persons selling, distributing or delivering the Racing Calendar, for anything done or omitted in or in relation to the exercise or professed exercise of any of the powers hereby conferred.

Where Tasracing exercises any power or performs any functions under the Tasmanian Rules of Racing which is exercisable by or is to be performed by the Committee of the Club that power or function shall be deemed to have been exercised by or performed on behalf of the Committee of the Club by Tasracing.

Where any power, function or duty is required by the Tasmanian Rules of Racing to be exercised by an Officer of the Club that power, function or duty may be exercised by an Officer appointed by Tasracing.



TSD0048 - vs14

# **LOCAL RULE 4**

# SPECIAL POWERS OF TASRACING, COMMITTEES, STIPENDIARY STEWARDS AND APPOINTMENT OF STIPENDIARY STEWARDS

- **4.1.** Stipendiary Stewards shall be appointed in accordance with the provisions of the Racing Regulation Act 2004 to officiate at race meetings.
- 4.2. There must be at least three Stewards appointed acting at every provincial and or country meeting. Three Stewards shall form a quorum. Where at any meeting that conducts Class A and Class B restricted races, the meeting may be conducted by one or more Stewards. In the event of only one steward being in attendance that Steward will be appointed as the Chairman of Stewards. Should there be less than three Stewards so appointed present at any of such meetings, competent and willing to act, the Director shall nominate stipendiary stewards to bring the number of Stewards up to three. (Amended rule effective 16/12/2015)
- **4.3.** The Stewards so appointed shall be the Stewards of the Club holding the meeting, and shall have and may exercise all the powers, duties and authorities conferred on the Stewards by the Tasmanian Rules of Racing except the control and management of the totalisator and the employees engaged thereon, and the settlement of any dispute which may arise in respect of any dividend except as provided for under the Racing Regulation Act 2004.
- **4.4.** The Chairman shall be appointed as aforesaid and in the absence of the Chairman, a Senior Steward will assume the Chairman's position; in the absence of both the Chairman and a Senior Steward the other Stewards shall appoint a Chairman. The Chairman shall, in the case of an equality of votes, have a casting vote in addition to his deliberative vote on all matters over which the Stewards have jurisdiction.
- 4.5. The Stewards shall be indemnified from the funds of the Club holding the meeting against any legal proceedings that may be instituted against them and against any loss or damage sustained by any of them in consequence of or as a result of or in any way directly or indirectly arising out of the exercise of any right, privilege, power, duty or discretion conferred or imposed, or bona-fide believed to have been conferred or imposed under the Tasmanian Rules of Racing.
- **4.6.** If at any race meeting any act, matter or thing shall arise which is not provided for by the Rules it shall be determined by the Stewards in such manner as they think fit.
- 4.7. The Stewards may inquire into any incident at any Race meeting and at any organised Trial or Training Establishment at any course and take appropriate action against any person or persons found guilty of any breach of the Rules and also take any action deemed necessary in respect of any horse in accordance with the Rules. The Stewards referred to in this Rule, shall be members of the Panel of Stewards appointed under the Racing Regulation Act 2004 and shall comprise not less than three in number.
- 4.8. The Stewards may inquire into any incident at any Race meeting and at any organised Trial or Training Establishment at any course and take appropriate action against any person or persons found guilty of any breach of the Rules and also take any action deemed necessary in respect of any horse in accordance with the Rules. The Stewards referred to in this Rule, shall be members of the Panel of Stewards appointed under the Racing Regulation Act 2004. (Amended rule effective 16/12/2015)
- **4.9.** In any Stewards inquiry into or adjudication upon the conduct of any official, licensed person, person attendant on, or connected with, a horse or any other person attending a course, any such official or person shall have no right to be legally represented at such inquiry or adjudication,



TSD0048 - vs14

provided that an Apprentice Jockey may be represented by his Master or a person approved by the Stewards acting for his Master.

- **4.10.** The Stewards may suspend from starting, for a period as they think fit, any horse which they consider has been unruly, wayward or uncompetitive.
- **4.11.** The Stewards may permit accredited representatives of the press to be present at any inquiry in such numbers and subject to such conditions as may from time to time be deemed proper.
- **4.12.** The general powers conferred on the Committee of any Club or Stewards shall not be limited, qualified or affected by the fact that specific punishment is prescribed for a particular offence, or a specific power is conferred.
- 4.13. The evidence taken by the Committee or Stewards of any Club or Tasracing on the hearing of any objection, complaint, protest, charge, dispute, question, inquiry, or investigation, under the Rules, may, at the option of such Committee, Tasracing or Stewards be by Statutory Declaration, and/or viva voce evidence, or by written or printed or type written documents, certificates or statements believed by such Committee or Stewards to be genuine and bona fide, or in any other manner or form that they may think fit, the evidence may be so taken either in the State of the Commonwealth where the hearing is being conducted or elsewhere.
- 4.14. Tasracing is authorised to print and issue from time to time as may be determined a periodical to be called "The Racing Calendar" and to publish therein all such matters as in their opinion may concern or be beneficial to racing. The Racing Calendar, or a supplement thereto, shall be the vehicle for exhibiting and transmitting "the forfeit list" within the meaning of the Rules and Tasracing is hereby authorised to publish the forfeit list in that journal or a supplement thereof.
- **4.15.** The Stewards shall have the power to defer, for a period of no longer than nine (9) days, the commencement of any suspension imposed by them upon a rider provided that such rider has been engaged as the rider of a horse in a race on one of these days.
- **4.16.** Upon an Analyst's Report on a Preliminary Analysis of a sample taken pursuant to AR.8(jj) showing the presence of a banned substance as defined in AR.81B, the Stewards may in their absolute discretion and without the necessity of providing reasons, stand down any Jockey, Apprentice or rider from all races, trial and track riding for and until such time as the Stewards have inquired into the said analysis.
- **4.17.** The Stewards may exclude or eject from all places under their control, any person who is liable to expulsion under a By-Law or the Tasmanian Rules of Racing or under any resolution of the Committee of the Club whether such resolution apply to general classes of persons or offences or to particular persons or offences.
- **4.18.** Tasracing, subject to the provisions of the Racing Regulation Act 2004, may from time to time make Regulations with respect to all or any of the following matters that is to say:
  - (a) Issuing licenses to Riders and Trainers.
  - (b) Requiring Apprentices, stable hands and stable forepersons employed in training stables to be registered and for charging and collecting fees there from; and for providing for the terms of such registration, and as to the powers of Tasracing relative to the granting or otherwise of such registration and as to the revocation or cancellation of any such registration, and for prohibiting Trainers or holders of permits to train from employing or allowing any person not so registered from working in or about any training establishment conducted by him or from taking part in the training, working or custody or management of any racehorse trained, owned or leased by him.
  - (c) Granting to competent and reputable persons, not otherwise licensed, permits to train or ride and fixing and collecting fees for such permits.



TSD0048 - vs14

- (d) Imposing and collecting such other fees and emoluments as may in the opinion of Tasracing be proper or necessary for carrying out the Rules.
- (e) Providing when there is in these Rules no provision or insufficient provision in respect of any matter or thing necessary to give effect to the Rules in what manner and form the want of provision or insufficiency of provision shall be supplied or made good; providing for any purposes whether general or to meet particular cases that may be convenient for the administration of the Rules or that may be desirable or necessary to carry out the objects and purposes hereof.

Tasracing may from time to time rescind, annul, alter or vary all or any of such Regulations and make new Regulations.

- **4.19.** All Regulations made under the authority of these Rules shall have the same force and effect as if included in these Rules.
- **4.20.** Special circumstances relevant to the imposition of penalties under AR 196(5) For the purpose of these Rules and the imposition of a penalty under AR 196(5), a special circumstance may be found if:
  - (a) the person has assisted the Stewards and/or Tasracing or has given an undertaking to assist, after the imposition of a penalty on that person, the Stewards and/or Tasracing in the investigation or prosecution of a breach of the Rules;
  - (b) the person has pleaded guilty at an early stage;
  - (c) the person proves on the balance of probabilities that at the time of the commission of the offence, he or she:
    - (i) had impaired mental functioning; or
    - (ii) was under duress;

that is causally linked to the breach of the Rule and substantially reduces his or her culpability; or

(d) in the interests of justice, the circumstances may be deemed or considered to be special. [added 1/3/13]



TSD0048 - vs14

# **LOCAL RULE 5**

# **REGISTERED CLUBS**

- **5.1.** The affairs of every registered race club shall be governed by its own Constitution and Rules, but the Constitution and Rules must not be contrary to or inconsistent with the provisions of the Tasmanian Rules of Racing. Every such Club shall elect its own Board of Management and Officers.
- **5.2.** Subject to the provisions of the Racing Regulation Act 2004, a registered race club shall ensure that all race meetings conducted by the club, including betting-only meetings, are conducted on a registered race course only.
- **5.3.** The provisions of sub-rule (5.2) above shall in addition apply to any association, authority or body of persons wishing to conduct a race meeting or betting-only meeting.



TSD0048 - vs14

# **LOCAL RULE 6**

#### **RACE MEETINGS**

- **6.1.** The prescribed time for lodging conditions of every race and the full programme of every meeting with Tasracing shall be sixty (60) days before the date of the meeting unless otherwise approved by Tasracing.
- **6.2.** Such programmes must state which races contained therein are handicap races and which are setweight races, and must also state that the meeting is to be subject to the Tasmanian Rules of Racing and must state the days on which the meeting is to begin and end, and the dates for the closing of nominations and acceptances.
- **6.3.** Tasracing shall, as soon as possible, consider the programme submitted, and notify its approval thereof or otherwise to the Club forwarding the same.
- **6.4.** Tasracing and/or the Club shall have the power to stipulate any special conditions that shall be included in the conditions subject to the Board's approval of any race.
- **6.5.** Every race meeting, unless otherwise ordered by Tasracing, shall be advertised in the Racing Calendar and the advertisement thereof must state that the meeting is held under and subject to the Tasmanian Rules of Racing and must set out
  - (a) the full programme of the meeting;
  - (b) the days on which the meeting is to be held;
  - (c) the time and place for the receiving of entries, declaration of weights and acceptances.
- **6.6.** The fee payable under this Rule for advertising a programme in the Racing Calendar shall be such amount as may be from time to time determined by Tasracing.
- **6.7.** The Committee of the Club or Tasracing holding a race meeting under the Tasmanian Rules of Racing shall appoint all necessary officials for the conduct of its meetings, including a Judge, Assistant Judge, Starter, Assistant Starter, Barrier Attendants, Clerk of the Course, and Timekeeper.
- 6.8. Personal Protective Equipment for Clerks of the Course
  - (a) Every person engaged by the Committee of the Club to perform the duties of a Clerk of the Course at a race meeting shall when mounted on a horse:
    - (i) wear a body protector approved by Tasracing which has attached to it a manufacturer's label that states it complies with the relevant standard prescribed by Tasracing; and
    - (ii) wear a properly affixed helmet which conforms to one of the standards that have been approved by the Australian Racing Board.
  - (b) Every Clerk of the Course shall be responsible for the care and condition of his or her skull cap by ensuring that it is serviceable and must immediately replace the skull cap when:
    - (i) a period of 5 years has expired since its date of manufacture; or
    - (ii) it sustains a severe impact; or
    - (iii) the wearer suffers from concussion following a fall.



TSD0048 - vs14

(c) Any person who has been found guilty of a breach of LR 6.8 (a) or (b) may be punished. Any club official responsible for the engagement and/or supervision of the Clerk of the Course may also be punished unless the Stewards are satisfied that such club official took all reasonable care to ensure that the Clerk of the Course complied with LR 6.8 (a) and (b).

#### 6.9. Warning Sirens During The Running Of A Race

# (a) False Start

A starter declaring a "False Start" must immediately activate the False Start warning device comprised of a flashing light and siren situated within 200 metres of the start, placed within 2 metres of the inside running rail.

# (b) Emergency Procedures

The following procedures must be adopted to warn riders that the track is obstructed or impassable and as such they must ease their mounts up and cease racing without causing interference to other runners:

- (i) Stewards, at the direction of the Chairman of Stewards, must sound the warning device, as one continuous siren until such time as riders in the event cease racing or until otherwise advised by the Chairman of Stewards.
- (ii) Riders must respond to the warning device by easing their mounts and cease riding, without causing interference.
- (iii) Horses are to be ridden in a safe manner from where they are positioned on the track to the mounting yard, in such a way that does not delay the race meeting.
- (iv) Where the warning siren is sounded by the Stewards, such race shall be declared void.



TSD0048 - vs14

# **LOCAL RULE 7**

#### **STABLE RETURNS**

- **7.1.** The completion by the Trainer of a Stable Return and any required amendment thereto duly lodged to RISA Trainers Service Centre shall be deemed to be part of an entry for a race at a race meeting in Tasmania.
- **7.2.** A Trainer is required to lodge a Stable Return completed in its entirety within seven (7) days of every registered horse entering his stable. However, if any horse is entered for any race or official trial, a Stable Return completed in its entirety must be lodged no later than two (2) clear working days prior to closing time of entries for such race or trial.
- **7.3.** When any particulars on the original Stable Return change, a Trainer must notify the Stewards immediately, and notify such change to RISA Trainers Service Centre.
- **7.4.** Any Trainer not in Tasracing's area may fulfil the requirements of this Rule by lodging such return with RISA (Trainers Service Centre).
- **7.5.** Should any Trainer fail to fulfill the requirements of this Rule, he may be punished and/or the horse concerned may be withdrawn from its engagement.
- **7.6.** Further to the provisions of AR.140B a horse may run in any race provided that:
  - (a) An official Stable Return indicating the gear to be used on the horse is lodged prior to the declaration of acceptances.
  - (b) Stewards may, in their sole discretion, in exceptional circumstances permit a change of gear in respect of any horse after the time for the closing of declaration of acceptances.
  - (c) Any gear approved and used on any horse in a race shall be used in subsequent races without variation unless otherwise permitted or directed by the Stewards.

Notwithstanding the provisions of this rule, excluding a direction by Stewards, any change of gear shall be effected by the lodgement of an official Stable Return as provided for in LR 7.1.



TSD0048 - vs14

#### **LOCAL RULE 8**

#### **NOMINATIONS AND ENTRIES**

#### 8.1.

- (a) Any person entering or having entered a horse for any race may be called upon by Tasracing or Stewards to declare by statutory declaration the truth of all particulars contained in the entry.
- (b) If such person shall fail or refuse to make such declaration or if it shall be proved to the satisfaction of Tasracing or Stewards that the declaration when made is in any respect untrue, then Tasracing or Stewards may declare that the entry of such horse is invalid, and in that case the stakes entrance money or fee paid for that entry shall be forfeited to the Club, and that person may be punished by Tasracing or Stewards.
- (c) If the Stewards shall reject the entry of any horse or horses, such horse or horses shall not be eligible to run in any race at any registered meeting held in Tasmania without the permission of the said Stewards.
- (d) If the horse shall run in any race contrary to the provisions of sub-sections (a) and (b) hereof, such horse shall ipso facto be disqualified and the Owner and/or Trainer thereof may be punished.

#### 8.2.

- (a) In the event of a horse being nominated for a race and becoming ineligible after the closing of entries by virtue of a win, the nomination of that horse may in the discretion of the Tasracing, be transferred to another race at the same meeting at any time before the declaration of weights.
- (b) Tasracing shall have a similar discretion, to be exercised only with the consent of the Nominator, in respect of horses, which become disengaged when a race is deleted from a programme at any time before the declaration of weights.
- (c) In the event of a horse being nominated for a race for which it is ineligible the nomination of that horse may in exceptional circumstances be transferred to another race, for which the horse is eligible, at the same meeting at any time before the declaration of weights. For the purposes of this rule Tasracing shall not transfer any such horse without prior consultation with the Stewards.
- **8.3.** Unraced horses must have a barrier certificate obtained in an official trial prior to the closing of acceptances; in the event that an unraced horse has been issued a barrier certificate by interstate stewards, the horse may be permitted to start provided that vision of the unofficial trial is readily available and the performance is deemed satisfactory by the Chairman of Stewards.

(Amended rule effective 30/09/16)

- **8.4.** Horses that have been placed under an embargo must have a barrier certificate or clearance obtained prior to the closing of acceptances.
- **8.5.** No horse shall be eligible to be entered for or to run in any race within the State of Tasmania unless it is trained by a Trainer licensed or registered by any Principal Racing Authority, or recognised International Racing Authority or Association.



54

TSD0048 - vs14

# **LOCAL RULE 9**

#### **WEIGHTS PENALTIES AND ALLOWANCES**

- **9.1.** In all handicap events, unless otherwise specified, the minimum handicap weight shall be NO LESS THAN 54 kgs. The Handicapper may, at his discretion, take into account the 2.0 kg filly and mare allowance except where it reduces the minimum weight beyond 54 kgs.
- 9.2. Rescinded 1 March 2014
- 9.3. Set Weight Maiden Scales

3yo

F

- 3 Year Old + (a) **Aug-Oct** Nov-Jan Feb-Apr May-Jul 58.5 G/E 4+ 58.5 58.5 58.5 3yo C/G 56 57 58 58.5 4+ M 56.5 56.5 56.5 56.5
- (b) 3 Year Old+ C/G/E Nov-Jan Feb-Apr May-Jul **Aug-Oct** 4+ G/E 58 58 58 58 3yo C/G 55.5 56.5 57.5 58

55

56

56.5

- (c) 3 Year Old+ F/M May-Jul **Aug-Oct** Nov-Jan Feb-Apr 58 4+ M 58 58 58 3yo F 55.5 56.5 57.5
- (d) 4 Year Old+ (ALL SEASONS) G/E 58.5 M 56.5
- (e) 3 Year Old Maiden (ALL SEASONS) C/G 57 F 55
- (f) 2 Year Old Maiden (ALL SEASONS) C/G 57 F 55
- (g) 3 Year Old C/G (ALL SEASONS) 57
- (h) 3Year Old F (ALL SEASONS)
- (i) 2 Year Old C/G (ALL SEASONS)
- (j) 2 Year Old F (ALL SEASONS) 57



TSD0048 - vs14

(k) Division of a Maiden by sex after acceptances

4+ 3yo	G/E C/G	<b>Aug-Oct</b> 58 55.5	Nov-Jan 58 56.5	<b>Feb-Apr</b> 58 57.5	May-Jul 58 58
4+	М	Aug-Oct 58	Nov-Jan 58	Feb-Apr 58	May-Jul 58
3yo	F	55.5	56.5	57.5	58

(I) Maiden & Class 1 Plate

	Aug-Oct	Nov-Jan	Feb-Apr	May-Jul
4+ G/E Class 1	59	59	59	59
4+ M Class 1	57	57	57	57
4+ G/E Maiden	57	57	57	57
4+ M Maiden	55	55	55	55

(3yo allowance 1 kg Aug-Dec; 0.5 kg Jan-Apr; nil May-Jul)

(2yo allowance 3 kg Jan-Apr; 2.5 kg May-Jul)

(The minimum handicap weight shall be NO LESS THAN 54kg)

(m) Class 4

	Aug-Oct	Nov-Jan	Feb-Apr	May-Jul
Class 4	58	58	58	58
Class 3	57	57	57	57
Class 2/1/Mdn	55	55	55	55
/ A II	/8.4 01 4.1	L	A 1 NIII - 1	1 1

(Allowances: Fillies/Mares 2kg ALL YEAR. 3yo 1kg Aug-Jan; Nil Feb-Jul)

(n) 2YO & Up Maiden

	Apr	May-Jul
4YO+ G/E	58.5	58.5
4YO+ M	56.5	56.5
3YO+ C&G	58	58.5
3YO F	56	56.5
2YO C&G	56	56
2YO F	54	54

- **9.4.** Prior to the declaration of Acceptance the Handicapper may adjust the weight of any horse whose weight as published was incorrect:
  - (a) because of a clerical error; or
  - (b) because of an error or misunderstanding on the Handicapper's part as to the status, age or sex of the horse.



TSD0048 - vs14

# **LOCAL RULE 10**

#### **DECLARATION OF ACCEPTANCE**

- **10.1.** The procedure known as "Declaration of Acceptance" in accordance with this Rule shall apply to all race meetings in Tasmania.
- 10.2. All Declarations of Acceptance shall be made before such time on such day as may be prescribed by the Committee of the Club. Notice of Declaration of Acceptance shall be given by the Nominator or Trainer or by the authorised agent of either of them in such manner as may be prescribed from time to time by Tasracing.
- **10.3.** The provisions of AR.207 shall apply to any entry, scratching or notice required by the Tasmanian Rules of Racing to be in writing or in such manner as prescribed from time to time by Tasracing.
- **10.4.** No horse shall be declared an acceptor for more than one race on any one day, unless declared as an emergency in a race, in which case they can accept in one other race on the day and may start in either race. (Amended rule effective 01/07/2015)
  - (a) No horse may compete in more than one race on any one day.
  - (b) If declared an acceptor for more than one race on any one day, connections must withdraw from one race prior to the advertised final scratching time or LR10.5(a) will be enforced.
- **10.5.** In the event of any horse being declared an acceptor for races contrary to this Rule such horse shall be eliminated from races in the following manner:
  - (a) if the horse be an acceptor for more than one race at the one meeting it shall be eliminated at the discretion of Tasracing and/or Stewards.
  - (b) if the horse be an acceptor for more than one meeting on the same day it shall be eliminated from the meeting for which Declarations of Acceptance are taken at the later time.
  - (c) the Nominator of any horse declared an acceptor contrary to this Rule will be liable for the scratching fee for any race from which the horse is eliminated and such further penalty as the Stewards may impose.
- **10.6.** The Entrance and Acceptance Fees payable in respect of every horse nominated for any race shall be as determined, from time to time, by Tasracing, provided that proper notice of such fees in the Racing Calendar is given.
- **10.7.** Except as provided in AR.38, if a race is not run or is declared to be void all entrance money, forfeits and sweepstakes which have been paid shall be returned to the Nominator or Nominators.

#### 10.8. Non-acceptance Fees

A non-acceptance fee must be paid in respect of each horse NOT declared an acceptor. Tasracing shall determine the amount of the fee payable. In the event that a horse has been nominated for more than one race, at a race meeting, a non-acceptance fee will NOT be charged provided the horse is an acceptor in one of the races nominated for. In addition a trainer will not be required to pay a non-acceptance fee, if that horse has competed in a race, at another meeting, after nominations were taken.



TSD0048 - vs14

#### 10.9. Payment of Fees

Fees in respect of declarations and scratchings (excluding Group, Listed and otherwise specified Feature races):

- (a) must be paid at such time and in such manner as is prescribed by Tasracing; or
- (b) if unpaid at the time prescribed by Tasracing, fees may be recovered from the nominator of the horse in respect to the race to which the fee relates.

# 10.10. Emergency Acceptors

(c) Unless otherwise determined by the Stewards, Tasracing may make provision for a maximum of 5 emergencies when the field limit is 15 runners or less, and 4 emergencies when the field limit exceeds 15 runners, when a programmed race is divided into two or more divisions the same set of emergencies will be named in each division.

#### (d) Withdrawal

Any horse named as an emergency acceptor which has not obtained a place in the field at Final Scratching Time is deemed to be withdrawn.

10.11. If after the declaration of acceptance a horse is withdrawn by order of, or with the permission of, the Stewards acting on Veterinary advice, or if a horse is found to be lame or otherwise injured after a race or trial or suffering from disease, infirmity, unsoundness or unfitness, such horse may be deemed ineligible to start for such time as the Stewards may decide and/or only after it has passed such test or tests as the Stewards may decide are necessary to establish its fitness.

#### 10.12. No Liability for Error

- (a) No liability of any nature shall be incurred by any Club by reason of error or failure in dealing with acceptances on the part of the Club or its servants or agents, which error or failure results in a horse not starting in a race, but the Club in such circumstances shall refund any fee paid.
- (b) Provided that if any horse be omitted from the list of acceptances at the time of Final Declaration of Acceptances such horse may, subject to the approval of the Stewards be reinstated in the race at any time up to two hours after the time set down for such declaration. In the event the race in which the horse omitted has been reinstated has been divided such horse may be allotted to such division as the Stewards shall determine.
- (c) If by inclusion of the omitted horse a ballot becomes necessary it shall be carried out in accordance with LR 12.
- 10.13. In handicap based races, if at the close of acceptances the highest weighted horse at acceptance time has less than 58kg its weight shall be raised to 58kg and horses weighted above the minimum will have their weight increased by the same amount. Where a horse is weighted on the declared minimum weight and would otherwise be allotted a lesser weight in the absence of the required minimum that horse will remain on the minimum weight 54kg. (Amended rule effective 01/08/2016)



TSD0048 - vs14

#### **LOCAL RULE 11**

#### **BALLOTING CONDITIONS**

- 11.1. Where it becomes necessary (other than by a division) to reduce the number of horses which may start with safety in any race or a division of any race such reduction shall be effected by the Committee of the Club concerned or such other persons as they may authorise on their behalf by eliminating horses as follows:
  - (a) (i) Balloting conditions and order of entry for Maiden and Maiden/Class 1 races are as follows, and where necessary, horses equal for the ballot will be eliminated by lot:
    - 1. First starters:
    - 2. Placed 1st last start:
    - 3. Placed 2nd last start;
    - Placed 3rd last start;
    - 5. Horses subject to Balloted Out List conditions and rankings (refer LR 11.(a)(ii) below)
    - 6. Placed 4th or 5th last start or only had 1 career start;
    - 7. Placed 1st, 2nd or 3rd at their second last start.
    - 8. Placed 4th or 5th at their second last start or only had 2 career starts.
    - 9. Placed 1st, 2nd or 3rd at their third last start.
    - 10. Placed 4th or 5th at their third last start or only had 3 career starts.
    - 11. 4 career starts
    - 12. 5 career starts
    - 13. Least number of consecutive unplaced runs.
    - (ii) Maiden horses that have had fewer than 11 race starts and are balloted out (or fail to gain a start as an emergency) on two successive occasions in Maiden or Maiden/Class 1 races are placed on the Balloted Out List and ranked in ascending order by the date they were balloted on the second occasion. Horses with the same second balloted out date are ranked according to the least number of unplaced runs, and then by lot, if equal. Horses that gain a start and are subsequently scratched from the race will retain their position on the Balloted Out List. Abandoned, cancelled or deleted races are not considered for Balloted Out listing purposes.
    - (iii) The ballot out list will not be implemented on the following race days: Devonport Cup day, Tasmanian Derby day, Hobart Cup day and Launceston Cup day.
    - (iv) The Maiden Balloting Conditions will be declared at the declaration of weights only.
  - (b) In **SET WEIGHT RACES** other than Maiden and Maiden/Class 1 Races, horses will be eliminated as per LR 11.1(e) Ratings Based Handicapping Balloting.
    - (i) Notwithstanding the above, Tasracing in its absolute discretion may -
    - (ii) eliminate any horse or horses from a race and/or exclude any horse or horses from the operation of the preceding elimination conditions irrespective of the handicap weight of the horse or horses concerned, or
    - (iii) cause special conditions to be inserted in the entry form for a race specifying the manner of elimination of horses and such elimination shall be effected in accordance with such conditions.
    - (iv)**prizemoney bonuses** Breeders' bonuses and other bonuses will not be taken into account as prizemoney for balloting purposes.
  - (c) In Class 4 and Class 6 Plates, when a ballot is necessary it will be under the conditions of LR 11.1(e).



TSD0048 - vs14

(i) In **TWO-YEAR-OLD RACES** prior to 1 February the horses shall be eliminated in the following order: (amended rule effective 26/05/2016)

**FIRST** - Horses which have not been placed first to fourth inclusive in a race and have not been placed first to third inclusive in an official trial.

**SECOND** - Unraced horses which have not been placed first to third inclusive in an official trial

**THIRD** - Horses which have not been placed first to fourth inclusive in a race but which have been placed first to third inclusive in an official trial.

FOURTH - Horses which have not won a race.

- (ii) In **TWO-YEAR-OLD RACES** (UNLESS OTHERWISE SPECIFIED) horses will be eliminated as per LR11.1(e) Ratings Based Handicapping Balloting.
- (e) Ratings Based Handicapping Balloting- Method of elimination of surplus horses: Elimination MUST be effected in order from the horse or horses with the lowest Ballot Ratings upwards as follows:-
  - (i) Horses with the lowest Ballot Rating will be eliminated first
  - (ii) When two or more horses are of the same Ballot Rating oldest will be eliminated first
  - (iii) When two or more horses are of the same Ballot Rating and age elimination, ballot shall be by lowest prizemoney. (amended rule effective 1/10/2016)
- (f) In NON RATINGS BASED **HANDICAP RACES** horses on the lowest handicap weight will be eliminated first in the order set down by the Handicapper with the last named horse being the first eliminated, then the next to last named and so on until the required number of starters is reached or until all horses on the minimum weight have been eliminated. When the Handicapper regards two or more horses on the minimum weight as being equal in elimination order they will be bracketed together. Should it become necessary to ballot any of two (2) or more horses bracketed together, elimination shall be by lot. When two (2) or more horses are handicapped above the minimum at the same weight, older horses shall be eliminated before younger horses, in order of age. Horses with the same handicap weight above the minimum and of the same age shall be eliminated by lot.

When the conditions of a race provide for penalties for wins after declaration of weights, the Handicapper may promote a horse in the elimination order instead of imposing additional weight by way of penalty.

(g) Set weights and penalty races.

Method of elimination of surplus horses -

**FIRST** - horses which have received no weight penalty for the race, in order of lowest aggregate prizemoney received. Horses in this category with equal aggregate prizemoney shall be eliminated by lot.

**SECOND** - horses which have received the lowest weight penalty for the race, and so on upwards until the safety limit is reached. Horses in the same weight penalty category shall be eliminated in order of lowest aggregate prizemoney received.

Horses in the same weight penalty category with equal aggregate prizemoney shall be eliminated by lot.

No account will be taken of any bonuses.

- (h) Benchmark 62 Ratings based / performance Handicapping Order of entry and Balloting-Whereby order of entry for Benchmark 62 races are as follows:
  - 1. Placed 1st last start;
  - 2. Placed 2<sup>nd</sup> or 3<sup>rd</sup> at last start;
  - 3. Placed 1st, 2nd or 3rd at their second last start.
  - 4. Horses with highest rating.

Method of elimination of surplus horses secondly must be effected in order from the horse or horses with the lowest Ballot order upwards as follows:-

- (i) When two or more horses are of the same Ballot Rating oldest will be eliminated first
- (ii) When two or more horses are of the same Ballot Rating and age elimination, ballot shall be by lowest prizemoney. (new rule effective 1/10/2016)



TSD0048 - vs14

# 11.2. Extended nominations

If in the case of races of the value of less than \$25,000 horses are entered after the advertised closing time the order of elimination shall be:

- (a) **First**: any horse entered after the advertised closing time, with such elimination to be effected in accordance with the elimination procedure applicable to the race.
- (b) **Second**: If it is necessary to eliminate further horses, such elimination must be effected in accordance with the elimination procedure applicable to the race.



TSD0048 - vs14

# **LOCAL RULE 12**

#### **BARRIER DRAW**

- 12.1. Barrier positions shall be drawn for by lot by means of a device or system approved by Tasracing.
- **12.2.** As far as is practicable, the barrier draw for a race shall take place within two hours after the declaration of acceptances.
- **12.3.** The draw for barrier positions for the runners shall be carried out by RISA:
  - (a) in the offices of RISA or an office designated by Tasracing; or
  - (b) in a public place, in a manner that permits public scrutiny of the draw.
- **12.4.** If any horse which should not have been included in the draw is so included or if any horse is scratched or if any horse is withdrawn by the Stewards or if the entry of any horse is rejected after the draw, the starters shall take their relative positions determined by the draw.
- **12.5.** If any horse which should have been included in the draw is not included and the omission is discovered after declaration of acceptances, the barrier positions of the horse thereafter included shall be determined by lot drawn from the total number of horses which may start in the race.
  - (a) the horse in the original draw with the same barrier position and all horses drawn outside that horse shall be moved out one position to accommodate the horse previously omitted.
  - (b) if by the inclusion of the omitted horse, a ballot becomes necessary, any horse then balloted out shall have its name included on the Ballot list, provided that the horse fulfills the ballot list criteria.



TSD0048 - vs14

# **LOCAL RULE 13**

#### **DECLARATION OF RIDERS**

- **13.1.** The Nominator or Trainer (or authorised agent of either of them) of a horse engaged in any race held under the Tasmanian Rules of Racing, shall declare the name of the rider to the Secretary of the Club conducting the meeting, or other official appointed for the purpose, on the day of Declaration of Acceptance as set down by Tasracing.
- 13.2. In exceptional circumstances an extension of time may be allowed by the Stewards.
- **13.3.** Failing any such declaration the Stewards may order the withdrawal of the horse and/or punish the Nominator or Trainer.
- **13.4.** Any horse drawn in a field excluding emergencies that has no declared rider will remain in that field until after official scratching time, that horse will then be declared a late scratching by the Stewards if there is no suitable rider available.
- **13.5.** For all races scheduled to start at 6 p.m. or later and for any race during the period from 1 June until 31 August, regardless of start time, the following is permitted for horses weighted up to 54 kgs
  - (a) One kilogram overweight If a rider is to be one kilogram overweight, permission must be obtained from the Stewards who may grant approval if the Nominator or Trainer agrees.
  - (b) More than one kilogram overweight Unless special circumstances apply the Stewards will not permit a rider to ride 1.5 kg overweight.
- **13.6.** Once a rider has been so declared no other rider may be substituted without the permission of the Stewards.
- **13.7.** Notwithstanding the provisions of AR.92, Apprentices shall not be permitted to claim the allowance to which they are entitled under that Rule in any race classified as a Quality handicap race or any other race for which the total prizemoney (excluding bonuses and trophies) is \$25,000 or greater.
- **13.8.** Subject to LR 13.7 in maiden races run at set weights or weight-for-age, restricted races run at set weights unless otherwise provided in the conditions of the race, any Apprentice entitled under the Rules to ride in races may claim an allowance in accordance with the scale set out in AR.92(2), (3), and (4).
  - Winning rides for the purposes of Apprentice allowances shall in Tasmania be in accordance with AR.92(3) and (4) unless otherwise provided for in the conditions of the race.
- **13.9.** No Apprentice who is entitled to claim 4 kgs may ride in any Group, Listed or Feature race in Tasmania. (amdt effective 1/8/2013)
- **13.10.** No Apprentice who is entitled to claim 4 kgs may ride in any 2yo race without approval of the Chairman of Stewards. (New effective 1/8/2013)
- **13.11.** No Apprentice who is entitled to claim 3 kgs may ride in any Group, Listed or Feature race in Tasmania without approval of the Chairman of Stewards. (New effective 1/8/2013)
- **13.12.** No Apprentice who is entitled to claim 4 kgs may ride a horse having its first official race start.

  (New Rule effective 23/02/2016)



TSD0048 - vs14

#### **LOCAL RULE 14**

#### SCRATCHINGS AND TIME TO BE AT RACECOURSE

- **14.1.** Notwithstanding the provisions of AR.114, no horse shall be withdrawn from a race for which it is an acceptor in respect of a race meeting after 7.30 am on that day without the permission of stewards as per LR14.4(a), (b) or (c).
- **14.2.** Any horse named as an emergency acceptor which has not obtained a place in the field shall be automatically withdrawn, and the nominator of a horse so withdrawn shall not be liable for a scratching fee.

## 14.3. Saddling Paddock

- (a) Further to the provisions of AR 117 unless otherwise directed by the Chairman of Stewards all horses intended to be run in any race shall be brought into the saddling paddock at least one and a half hours before the time appointed for the starting of such race. (Amended effective 1.3.2014)
- (b) Not withstanding the provisions of 14.3(a), horses intended to be run in the first race are to be brought into the saddling paddock at least one hour before the time appointed for the starting of such race. (New rule effective 1.3.2014)
- (c) No horse, which has been brought into the saddling paddock, shall be removed without the permission of the Stewards. (*Previously rule 14.3(b)*)
- **14.4.** The Nominator of a horse withdrawn from a race after Declaration of Acceptance of such race shall be liable for a scratching fee as determined by Tasracing unless otherwise specified in the conditions of the race.

PROVIDED that the Nominator shall not be liable for the scratching fee if a horse is withdrawn for any of the following reasons:

- (a) In the event of the death of the Nominator.
- (b) In the event of the death of the horse.
- (c) In the event of an emergency acceptor being withdrawn prior to 8 a.m. on the day of the meeting where exceptional circumstances exist.

#### 14.5. Amount Of Scratching Fee

Subject to LR 14.6(below), the trainer of a horse which is withdrawn from a race for any reason, other than because after the time of the final declaration of acceptance the horse has become ineligible to compete under the Rules, must pay the fees as determined and at such time and in such manner as is prescribed by Tasracing.

#### 14.6. Horse Withdrawn By Stewards or On Veterinary Advice

If a horse is withdrawn from a race:

- (a) by order of the Stewards; or
- (b) by any person authorised to do so acting on veterinary advice and that advice is confirmed by a veterinary certificate acceptable to the Stewards and provided to them not later than 5pm on the second business day next following the day of the running of the race from which the horse was withdrawn then:



TSD0048 - vs14

- (c) in the case of (a) above the Stewards may, other than in a Group or Listed race where no scratching fee will be payable, make a decision as to whether:
  - (i) the trainer is or is not required to pay the scratching fee; or
  - (ii) the trainer is required to either pay the veterinary scratching fee as determined by Tasracing; or
  - (iii) the veterinary scratching fee need not be paid but the horse so withdrawn will be ineligible to compete at any race meeting in Tasmania during any of the thirty days following the Final Scratching Time for that race.
- (d) in the case of (b) above, the person authorised must advise when lodging the withdrawal which of the options set out in (c), (ii) and (iii) is to apply;
- (e) the final declaration of acceptance fee (if any) paid in respect of the horse must be refunded;and
- (f) in the case of the circumstances described in LR 14.6(b) for Group & Listed races, a veterinary clearance may be required to be submitted to the Stewards before the horse is permitted to start in another race

# 14.7. Late Veterinary Certificate

If no veterinary certificate is received by the Stewards within the prescribed time under LR 14.6(b) the scratching fee will apply and the condition set out in LR 14.6(c)(ii) will not apply.

#### 14.8. Refund Of Fees

All nominations and acceptances lodged and received in respect to horses the subject of the condition imposed under LR 14.6(c)(iii) in relation to races to be conducted during the thirty day period the subject of the condition shall be refunded.

#### 14.9. Emergency Acceptor

- (a) A notice of withdrawal of an emergency acceptor from a race lodged after midnight on the day final acceptances were taken and before Final Scratching Time must be accompanied by any fee due under LR 14.5.
- (b) If such withdrawn emergency acceptor would have been withdrawn in accordance with LR.11, then any fee so paid must be refunded.

# 14.10. Change in track categories

Stewards may grant permission for a trainer or owner to scratch a horse from a race after the Final Scratching Time on race day if:

- (a) the track category has been changed by the Stewards after that Final Scratching Time; and
- (b) the application to scratch is made at least 45 minutes before the advertised starting time for the race.



TSD0048 - vs14

# **LOCAL RULE 15**

#### **TRAINERS**

- **15.1.** An application for the grant of a licence to train may be made in the following categories
  - (a) Trainer A
  - (b) Trainer Open
  - (c) Owner Trainer
  - (d) Pre Trainer
- **15.2.** Tasracing may prescribe certain pre requisites, requirements, qualifications and training units. Tasracing may from time to time prepare and cause to be published in the Racing Calendar and on the website, the trainer licensing policy which shall prescribe
  - (i) (educational qualifications, training units and experience levels which must be attained as a pre requisite to the grant of a licence to train; or
  - (ii) any other pre requisites or requirement for the grant of a licence to train as deemed appropriate in relation to each of the licence categories referred to above.
- **15.3.** Tasracing may in its discretion change or set aside any of the requirements made pursuant to Local Rule 15.
- 15.4. Material Change In The Circumstance From The Time An Application For Licence Or Renewal Of License Was Granted

A trainer must notify the Director as soon as practical in the event that the trainer -

- (a) Ceases to train;
- (b) Ceases to meet the requirements set out relevant to the category of licence granted to the trainer including a material or significant change in the trainers financial affairs which may adversely affect the capacity of the trainer -
  - (i) To pay his debts when they fall due; or
  - (ii) Is charged with an indictable offence; or
  - (iii) Is convicted of an indictable offence.
- 15.5. All applications for Licenses required by the Rules shall be made to the Director annually in such form and at such time in each year as the Director may from time to time direct, but the Director may grant such licenses at any time. Each application must be accompanied by the prescribed fee. The Director shall have the power in its sole discretion to determine the prescribed fee and may direct where extraordinary circumstances exist and/or where the quantum of the prescribed fee has not been determined a nominal fee in this regard.
- 15.6. All licenses and registrations shall expire on 31st day of July in each year.
- **15.7.** Further to the provisions of AR 7(b), the Director may grant any licence or registration upon such terms and for such period and for such locality as he may see fit, and may refuse to grant any such licence, permit or registration without assigning any reason for such refusal, and may at any time cancel or suspend or vary without giving any reason any such licence or registration before the termination of the period for which such licence or registration was granted.



TSD0048 - vs14

- **15.8.** Licenses and registrations may be restricted as to duration or locality or both, and may also be granted to an applicant on the basis of restriction to a particular employer whether or not restricted as aforesaid.
- **15.9.** Any person who has had an application for licence or a registration refused shall not make a further application until the expiration of twelve (12) months from the date of such refusal. The Director may in his discretion reduce the said period.
- 15.10. A Trainer is at all times responsible for the administration and conduct of his or her stables.
- **15.11.** A Trainer is at all times responsible for the care, control and supervision of the horses in his or her stables.
- 15.12. If a trainer is to be absent from his or her stables, for a period of longer than 48 hours, he or she must, with the Stewards permission and approval, deputise a licensed or registered person to be in charge of such stables during his or her absence. A Trainer for the purposes of this rule shall nominate in writing to the Stewards the period of his proposed absence and the name of a licensed person who has consented in writing to such nomination, who is to be left in charge of the racehorses trained by him during such period. The provisions of this Rule shall not apply when the management and control of the horse rests with the Trainer's deputy in accordance with LR 15.10.
- **15.13.** Such deputation does not relieve the trainer in any way from his or her responsibilities for the care, control and supervision of his or her horses and the conduct of his or her stables.
- **15.14.** The person to whom responsibility is delegated does not have the authority to further delegate this responsibility.
- **15.15.** A Trainer shall notify the Stewards forthwith upon changing his or her place of residence or the location of his stables and the Director may, if he sees fit, review his or her licence.
- 15.16. If a Trainer is unable to attend a race meeting at which a horse trained by him or her is racing, then not less than one (1) hour before the advertised starting time of such race, the Stewards must be notified by such Trainer authorising either another licensed Trainer, or a registered stable employee approved of by the Stewards to manage and control such horses at such race meeting. Trainers in contravention of this Rule may be punished and/or the horse or horses concerned may be withdrawn from such race or races.

# 15.17. Employees

- (a) Every person holding a Trainer's Licence shall on or before a date prescribed in each year apply to the Director to register every person (other than a Licensed Jockey, Apprentice, Approved Trials Rider or Trackwork Rider) employed by him in connection with the training or care of racehorses (in this Rule referred to as an 'employee') and such person to be fourteen (14) years or older. Any registration shall, except as hereinafter provided, remain in force until the 31st day of July in the following year.
- (b) Trainer to keep wages book
  - A trainer must keep a wages book in which
    - (i) The name, class of employment and earnings of each employee whether jockey, apprentice, Stablehand, track rider or person otherwise engaged in connection with race horses is regularly entered.
    - (ii) Each employee by his or her signature receipts such earnings.
  - 2. Every trainer must make the wages book available for inspection on demand by the Stewards or a person authorised in writing by Tasracing or Office of Racing Integrity.



TSD0048 - vs14

#### 15.18. New Employees

- (a) Application for the registration of employees engaged subsequent to the 1st day of August in each year, in respect of whom no transfer is registered as hereinafter provided, shall be made within one week of such engagement.
- (b) No employee unless registered as aforesaid shall be retained in employment.
- (c) Application for registration or transfer shall be made on the prescribed forms and accompanied by such fee as determined from time to time by the Director.
- (d) On termination of any employee's engagement, the employer shall either:
  - (i) register a transfer of the employment to another Trainer; or
  - (ii) notify the Director that such employment has been terminated whereupon the name of the employee in question shall be removed from the register and his employment shall be deemed to have ceased from the date of such termination.
- (e) Unless with the consent of the Director, or the Stewards, no Trainer shall engage a Registered Employee without a written report from his last employer and no employer shall withhold a report without good cause.

#### 15.19. Insurance - Employees

Every Trainer shall ensure that he maintains Worker's Compensation Insurance to cover any of his employees under the Worker's Compensation Act in force at that time and no person shall have any claim against Tasracing, Stewards or Club in respect of any loss or damage arising out of any race meeting or event.

## 15.20. Pre-Training

A horse having been in the care of a person for pre-training shall not be eligible to start in a trial or race unless the horse had been placed in the care of the notified Trainer at the registered stables of the Trainer no later than seven (7) days prior to trialing and or no later than twenty eight (28) days prior to racing. For the purpose of this rule a horse engaged in "pre-training" shall mean a horse being in the care of and exercised, worked or trained by a person, whether the holder of a Trainer's Licence or not, on or from a property other than the registered stables of the notified Trainer.

# 15.21. Trainer & Jockey - Dual Licences (new rule effective 1/10/2016)

- (a) No person shall apply for dual licences unless he/she is:
  - (i) a licensed jockey or eligible to be licensed as a jockey, pursuant to LR 16 and
  - (ii) a licensed trainer or is eligible to be licensed as a trainer and satisfied all the requirements of LR 15.
- (b) Further to the provisions of LR 15 & LR 16, the following provisions shall apply to dual licenses, namely:
  - (i) a dual license holder shall not be eligible to be granted an Owner Trainer permit or train in partnership and no person who has been granted an Owner Trainer Permit or train in partnership, shall be eligible for consideration as a dual licence holder
  - (ii) a dual Licence Holder shall not be entitled to have an apprentice jockey indentured to him/her
  - (iii) a dual licence holder shall not have any ownership interest in any horse



TSD0048 - vs14

- (iv) the continued entitlement to hold dual Licences shall be contingent on the dual Licence holder being eligible to have both a Jockey's licence and a trainer's licence under the Rules and or licence requirements.
- (c) Where a dual License holder is the trainer of a horse entered in a race, the dual licence holder may ride that horse but shall not be declared for, or ride, any other horse in that race
- (d) Where a dual license holder has horses entered for a race meeting the dual licence holder may elect to ride at the meeting, as such the dual license holder must adhere to the regulations and areas designated for Jockeys until such time as they have completed riding duties and been released by Stewards on the day have appointed a licensed trainer or stable employee to perform race day duties, in accordance with LR 15.16.
- (e) In the event that a dual licence holder is the trainer of more than one horse entered in the same race, then that dual License Holder may not be declared for, or ride any horse in that race, without express permission of the Chairman of Stewards.
- (f) If a horse which is trained by a dual licence holder changes stables and is thereafter trained by another trainer, that dual licence holder may not ride that horse or any other horse entered to race in the same race for a period of 28 days following that change of stables without express permission of the Chairman of Stewards.
- (g) Where the holder of a licence to ride and a licence to train is suspended for actions, matters or things pertaining to his or her Jockey licence may, at the discretion of the Stewards or PRA, and in addition to any other penalty authorised by the Rules or the relevant policies, be suspended as a Trainer for the same or such other period as the Stewards or Principal Racing Authority (PRA) determine
- (h) Where the holder of a licence to ride and a licence to train is suspended for actions, matters or things pertaining to his or her Trainer licence may, at the discretion of the Stewards or PRA, and in addition to any other penalty authorised by the Rules or the relevant policies, be suspended as a Jockey for the same or such other period as the Stewards or the PRA determine.
- (i) the maximum time a person can hold dual licence's is 5 years.



TSD0048 - vs14

#### **LOCAL RULE 16**

#### **JOCKEYS, APPRENTICES AND APPROVED TRIALS RIDERS**

- **16.1.** No person shall ride in a race at any race meeting or at official trials held within the State of Tasmania (unless such meeting has been exempted from the operation of this Rule) unless he or she is:
  - (a) licensed as a Jockey by the Director, or
  - (b) an Apprentice indentured under an agreement with Tasracing and has obtained a permit from ORI to ride in races, or
  - (c) In the case of an apprentice indentured with another recognised racing authority the Director may grant permission for such apprentice to ride if they are satisfied that he has the sanctions required by the Racing Rules and Regulations in force where he is indentured and submitted a Visiting Apprentice Notification, current medical examination report and letter from his/her Master granting approval to ride, or
  - (d) licensed as an Approved Trials Rider by the Director.
  - (e) licensed with Racing Victoria as a Jumps Jockey A may apply for a licence in Tasmania and be permitted to ride in trials, jump outs and trackwork and in any races excluding Group or Listed. (amended rule effective 26/05/2016)
  - (f) Jumps Jockey B and has made application to be licensed as a jockey in Tasmania and therefore may ride at any trial, jump out or trackwork held within the state of Tasmania. (amended rule effective 26/05/2016)
- **16.2.** Tasracing may prescribe certain pre requisites, requirements, qualifications and training units.

  Tasracing may from time to time prepare and cause to be published in the Racing Calendar and on the website, the licensing policy which shall prescribe
  - (a) educational qualifications, training units and experience levels which must be attained as a pre requisite to the grant of a licence to ride; or
  - (b) any other pre requisites or requirement for the grant of a licence to ride as deemed appropriate in relation to each of the licence categories referred to above.

Tasracing may in its discretion change or set aside any of the requirements made pursuant to Local Rule 16.

- **16.3.** Applications for Jockeys' Licenses, Apprentices' Permits and Approved Trial Rider licenses to ride at any race and/or trial meetings held within the State of Tasmania shall be made in writing.
- **16.4.** The prescribed fee for a licence shall accompany the application for such licence.
- **16.5.** As a pre requisite to the granting of a licence a jockey, apprentice or approved trial rider must supply Next of Kin details and emergency medical information to Office of Racing Integrity on the prescribed forms and undertaken cognitive testing.
- **16.6.** Tasracing may impose such terms and conditions on any applicant as they may think fit and in particular they may require him to enter into articles as an Apprentice, or to ride work regularly for a period before his application will be considered.
- **16.7.** A Jockey's Licence, Apprentice's Permit or Approved Trial Rider Licence may be restricted as to duration or locality or both, and may be cancelled by the Director.



TSD0048 - vs14

- **16.8.** No Apprentice shall ride at any race meeting:
  - (a) unless previously engaged through his Master, and
  - (b) unless his Master is present at the meeting, or unless he is in the charge of some Trainer or a person approved by the Stewards (present at the meeting) who has been nominated by his Master. Provided that the Stewards officiating at the meeting may, notwithstanding the foregoing, at the request of the Master, Trainer or a person approved by the Stewards in charge of the Apprentice, grant him permission to ride.
- **16.9.** Any person failing to comply with the provisions of this Rule may be punished by the Stewards.
- **16.10.** Any person bound as an Apprentice to a Trainer licensed or approved by the Director, may, with the consent in writing of his Master, during such Apprenticeship ride in any race without having obtained a Jockey's licence, if he shall have obtained from Tasracing a permit to ride in races. Any such permit as aforesaid shall be during the pleasure of and revocable at any time by Tasracing and shall be subject to such conditions as Tasracing may impose.

#### 16.11.

- (a) no licensed Trainer shall take an Apprentice otherwise than under the Articles of Apprenticeship approved of and registered by Tasracing. An executed copy of which shall be lodged with Tasracing within fourteen (14) days of the commencement of the Apprenticeship.
- (b) Apprenticeships shall be for a period of not less than four (4) years, provided that in exceptional circumstances Tasracing may approve of a lesser period not less than three (3) years.
- (c) Tasracing may refuse to register any Articles and at any time by written notice to the parties thereto cancel any Articles if in the opinion of Tasracing either the Master or the Apprentice shall have committed any act or been guilty of any conduct as a result of which the Tasracing considers it is undesirable for the Apprenticeship to continue.
- (d) if in the opinion of Tasracing or Stewards either the Master or the Apprentice shall have failed to carry out his obligations under the Articles, the offender may be punished.
- **16.12.** Every Apprentice, at the termination of his Apprenticeship is free to form engagements for himself, irrespective of any which may have been made for him during such Apprenticeship.
- **16.13.** A list of the licensed Jockeys, Approved Trials Riders and Apprentices holding permits to ride shall be published in the Racing Calendar.
- **16.14.** All jockeys, apprentice jockeys and approved trial riders who hold a licence or permit to ride in accordance with the Rules of Racing are covered under the Tasmanian Workers Rehabilitation and Compensation Act 1988 Section 4DC.

## 16.15. Requirements after weighing out

#### (a) Riders:

Riders must:

- (i) present themselves at the scales in the racing colours to be worn; and
- (ii) not change any clothing or equipment after weighing out.

# (b) Saddles:

Saddles and any other gear used when weighing out must be handed to the trainers concerned or their representatives and must not be left unattended or taken back into the jockeys' room.



TSD0048 - vs14

# 16.16. Riders Samples

- (a) Pursuant to the provisions of AR 8(jj) and 81A, and for the purposes of AR 81B(c), a sample taken by a Steward by means of a breath analyser, shall be deemed to have been analysed by an analyst and the result of the analysis shall be deemed to have been reported by an analyst.
- (b) For the purposes of AR 81B(c), a breath analyser shall be a device or instrument as approved by ORI.

The following instrument has been approved by Tasracing for the purposes of these Rules:

Lion Alcolmeter – SD – 400 (Version 3.4[or later] with P/A mode) Ref: RM40962 (VER: 3 GEN)



TSD0048 - vs14

# **LOCAL RULE 17**

#### **RIDING FEES**

- **17.1.** Unless otherwise provided the fees to Riders shall be as follows:
  - (a) a riding fee to be determined by Tasracing from time to time.
  - (b) in addition to the above, for riding a winning mount a fee of 5% of the value of the prize to the winner, and for riding a horse placed in accordance with the provisions of AR.157 a fee of 5% of the value of any prize of \$250 or over to such placed horse.
  - (c) For the purpose of this Rule the value of the prize shall be its advertised value but the prize shall not include any trophy won under the conditions of the race, nor any premium or bonus payable to the breeder or Nominator of a sire.
- **17.2.** Every Rider riding a horse in an official Trial on a Course shall be paid a riding fee to be determined by Tasracing from time to time.
- **17.3.** Tasracing will be responsible for the payment of all riding fees, trial fees and superannuation payments on behalf of owners. (New rule effective 01/9/2014)



TSD0048 - vs14

#### **LOCAL RULE 18**

#### **RIDERS AGENT**

- **18.1.** No person shall act as a Rider's Agent unless he/she has been granted a licence to act by the Director.
- **18.2.** Every application for a licence shall be made on the prescribed form and the applicant shall furnish such information as may be required from time to time.
- **18.3.** A fee as determined shall accompany every application.
- 18.4. The Director may impose such terms and conditions on any applicant as they may think fit.
- **18.5.** The Director may refuse to grant any licence and may at any time suspend, withdraw or cancel any licence before the termination of the year for which it is granted, without giving any reason therefore.
- **18.6.** No licence shall be granted to any person unless he/she satisfies the Director that he/she is competent to act in the capacity of Rider's Agent.
- **18.7.** Subject to the Rules a Rider's Agent licence shall expire at the end of the Racing Season in respect of which it has been granted.
- **18.8.** A licensed Rider's Agent shall represent only riders in respect of whom he/she has obtained specific approval of the Director.
  - (a) A copy of the Agreement held with each rider or Master of Apprentice he represents must be provided to Office of Racing Integrity.
  - (b) Any such Agreement shall remain in effect until written notification of the cancellation of such Agreement is received by Office of Racing Integrity.
  - (c) A licensed Rider's Agent shall notify Office of Racing Integrity in writing as soon as practicable prior to withdrawing from representing any rider.
  - (d) Unless otherwise determined by the Stewards, a rider shall be bound by engagements made on their behalf by his/her licensed Rider's Agent.
- 18.9. A licensed Rider's Agent shall not:
  - (a) Be a member or employee of Tasracing, Integrity Assurance Board, Tasracing Appeal Board or Office of Racing Integrity.
  - (b) Represent more than the number of riders as determined by Tasracing from time to time.
  - (c) Make or assist in making engagements for a rider, other than the riders he/she is approved to represent.
  - (d) Give to any person, either directly or indirectly, any information or advice that may influence any person in the making of a wager on the result of any race, without the permission of the Stewards.
  - (e) Whilst a race meeting is in progress, be permitted to enter the mounting enclosure, scales area or jockey's room, unless especially approved to do so by the Stewards.



TSD0048 - vs14

- (f) Be allowed on the racing surface after the commencement of a race meeting, without the permission of the Stewards.
- (g) During a race meeting, communicate in any manner with any jockey without the express permission of the Stewards.
- (h) Write articles about racing for publication or broadcast, nor comment about racing to the Press or Media, without the permission of Tasracing, the Director or the Stewards, except that comment may be made in respect to past events.



TSD0048 - vs14

### **LOCAL RULE 19**

### STABLE EMPLOYEES & TRACKWORK RIDERS

### 19.1. Stable Employees

- (a) Every person (other than a licensed Jockey or Apprentice) employed in connection with the training of a racehorse shall apply to Office of Racing Integrity to be registered as a Stable Employee.
- (b) The following provisions shall apply to applications for registration as a Stable Employee:
  - (i) Applications must be made on the prescribed form and lodged together with any other information as determined by Tasracing and required by the Director from time to time.
  - (ii) The fee, as determined, must accompany the application.
  - (iii) The Director may impose such terms and conditions on any applicant as they may think fit and in particular may restrict any registration as to duration or locality, or both.
  - (iv) The Director may refuse to register any Stable Employee, and may at any time revoke or cancel any registration before the termination of the year for which it is granted without giving any reason therefore.
  - (v) Every applicant must have turned fourteen (14) years of age prior to lodging any application.
  - (vi) Subject to the Rules, any registration shall expire at the end of the racing year in respect of which it has been granted.
  - (vii) A registered Stable Employee attendant with a horse at any race or trial meeting must visibly display the photographic identity card current for the present racing year issued by Office of Racing Integrity. Any registered Stable Employee who fails to comply with this Rule may be punished and may not be permitted to attend horses at that race meeting.
- (c) A Stable Employee currently licensed in another jurisdiction may continue to undertake duties on that license for a Tasmanian licensed trainer under the following provisions. (New effective 01/8/2013)
  - (i) A license application is lodged with Office of Racing Integrity prior to commencing work and a satisfactory clearance is obtained by Office of Racing Integrity from the PRA where the person is currently licensed.
  - (ii) All outstanding documentation including criminal history record check is provided to Office of Racing Integrity within 30 days of the initial application.
  - (iii) The person may only perform roles for one nominated trainer under a Tasmanian licence application is approved.

### 19.2. Trackwork Riders

- (a) Every person (other than a licensed Jockey, Apprentice or Approved Trial Rider) who intends riding track work in connection with the training of a racehorse shall apply to Office of Racing Integrity to be registered as a Trackwork Rider.
- (b) Applicants are subject to the same conditions as described in LR 19.1 (b) (i),(ii),(iii),(iv),(v) and (vi).
- (c) Every applicant for initial registration or renewal of registration must provide a personal statement and a medical report from a registered Medical Practitioner on the prescribed form.
- (d) Applicants must have a riding ability assessment to the satisfaction of Stewards.



TSD0048 - vs14

- (e) A Trackwork rider, who is currently licensed in another jurisdiction, may continue to undertake duties on their interstate licence for a Tasmanian licensed trainer under the following provisions. (New effective 01/8/2013)
  - (i) A licence application is lodged with Office of Racing Integrity prior to commencing any trackwork duties; a satisfactory clearance is obtained by Office of Racing Integrity from the PRA where the person is currently licensed and the person has undertaken a riding ability assessment to the satisfaction of Stewards or appointed track steward, prior to undertaking any riding duties.
  - (ii) All outstanding documentation including criminal history record check and medical examination report is provided to Office of Racing Integrity within 30 days of the initial application.
  - (iii) The person may only perform roles for one nominated trainer until a Tasmanian licence application is approved.

## 19.3. Interstate Stable Employees and Trackwork Riders (New effective 01/8/2013)

A Stable Employee and/or Trackwork Rider currently licensed in another jurisdiction may continue to undertake the duties on that licence when in Tasmania assisting a trainer who has a current Visiting Trainer Permit under the following provisions:

- (a) The person is listed on the particular trainer's Visiting Permit application lodged with Office of Racing Integrity.
- (b) A satisfactory clearance is obtained by Office of Racing Integrity from the PRA where the person is currently licensed.
- (c) The person is only permitted to undertake duties for the trainer with the Visiting Permit.
- (d) The person is not permitted to undertake duties for a Tasmanian licensed trainer unless they meet the requirements of LR 19.1(c) and 19.2(f).



TSD0048 - vs14

### **LOCAL RULE 20**

### **RACING COLOURS & ADVERTISING**

#### 20.1.

- (a) All racing colours used in race meetings conducted under the Tasmanian Rules of Racing must be registered with the Director.
- (b) Notwithstanding the provisions of LR 20.1, Tasracing, Director or Stewards may permit the use of colours registered with another Principal Club.
- (c) Applications for registration of colours shall be in writing and shall be lodged in such manner and accompanied by such fee as prescribed by the Director.
- (d) Registration must be renewed at least annually by payment of the prescribed fee.
- (e) Registration shall lapse if the fee on the renewal shall be unpaid for six months after due date and the Director may thereafter remove the name of the Owner from the Register.
- (f) In the event of two or more persons applying to register the same or similar colours, the Director or such person authorised by them shall decide between them.
- (g) The Director may refuse to register any colours.
- (h) The Director may cancel the registration of any colours.
- (i) If two or more horses belonging to the same Owner start in the same race, all but one of such horses shall carry such distinguishing mark as may be ordered by the Stewards.
- (j) The Stewards at any race meeting may require or permit riders to carry distinguishing colours other than those originally named.
- (k) Registration shall confer on the Owner the exclusive right to use the colours mentioned therein, and any person starting a horse in the registered colours of any other person without the latter's permission in writing, and without the approval of the Stewards, may be fined.
- No person shall change his registered colours without the permission of the Director or the Stewards.
- (m) Any person using Club colours shall pay such fees as directed by the Club.

### 20.2. Advertising

- (a) Subject to LR 20.1 and LR 20.3, the Director may register:
  - (i) racing colours not registered in the name of any other person; and
  - the exclusive right of a person to use such racing colours; on payment of such registration fees as are prescribed by the Director from time to time.
- (b) Without limiting the generality of the foregoing and notwithstanding LR 20.3 the Director may register:
  - (i) as racing colours any trademark, logo, design or other distinguishing pattern, colour or feature promoting or associated with any racing stable, licensed syndicator or Stud and not with any other business enterprise or undertaking; and
  - (ii) the exclusive right of the relevant racing stable, licensed syndicator or Stud to use such racing colours on payment of a registration fee of \$2,000.00.
- (c) The Director may in his absolute discretion refuse to register any racing colours, or may grant such registration subject to such terms and conditions as the Director thinks fit.



TSD0048 - vs14

- (d) Registration by the Director of racing colours and of the exclusive right of a person to use such racing colours expire on the day that is one year, or such longer period as the Director may direct from time to time, after the last preceding date of such registration, but such registration may be renewed by the Director pursuant to LR 20.2(b).
- (e) Where the registered user of registered racing colours is a natural person, the personal representative of such a deceased registered user of registered racing colours may with the consent of the Director become the registered user of those racing colours, and may with such consent transfer the registration of such racing colours and of the right to use the racing colours to a relative of the deceased.

## 20.3. Prohibition On Display Of Advertising

- (a) In this Local Rule LR 20.3, "Advertising" means any advertising, registered or unregistered trademark, logo, design or other distinguishing pattern, colour or feature promoting or associated with any business enterprise, undertaking, product or service, but does not include any such advertising, trademark, logo, design or other pattern, colour or feature registered by the Director in accordance with LR 20.2(b) for use as racing colours.
- (b) A person who is licensed or is the holder of a permit or is registered under these Rules, or who is an Official, must not without the approval of the Director display, or wear any item of clothing displaying, any advertising on any racecourse:
  - (i) at any time in the mounting yard or while riding in any race; or
  - (ii) during the conduct of any race meeting, official trial or event related to racing which is open to attendance by the public at the racecourse in which the person participates or performs the duties of an Official; or at any other times or places on any racecourse (or both) as may be prescribed by the Director.
- (c) There must not without approval of the Director be displayed (and no person shall permit to be displayed) on a horse or on any of its gear (including without limitation the saddle cloth) any advertising on any racecourse:
  - (i) at any time in the mounting yard or while the horse is participating in any race;
  - (ii) during the conduct of any race meeting, official trial or other event related to racing which is open to attendance by the public at any racecourse in which the horse participates; or
  - (ii) at any other times or places on any racecourse (or both) as may be prescribed by the Director.



TSD0048 - vs14

## **LOCAL RULE 21**

### **OFFENCES**

- **21.1.** Any person who shall knowingly employ a Rider who is disqualified or who does not hold a current licence, permit or registration may be punished by the Stewards.
- **21.2.** If any Owner or Trainer, except with the special permission of the Director, employ, or keep in his employment in connection with racing, any person who is disqualified during the term of such disqualification, such Owner or Trainer may be punished.
- 21.3. A horse which is wholly or partly owned or leased by any person disqualified for any offence in relation to such horse shall thereby be disqualified for all races after the disqualification of such person and such person shall forfeit or return all money and prizes which such horse has won in any race after such disqualification but the Director may waive in respect of a lessee the provisions of this Rule to such extent as it thinks fit.
- **21.4.** If it be proved to the satisfaction of Tasracing, Director or Stewards that any person is a defaulter in stakes or forfeits, such person may be punished.

### 21.5.

- (a) No person (other than an Officer of the Club or Tasracing) shall, without special leave from the Stewards, be admitted to the weighing room, except the Trainer, and rider, or other person having the care of the horse engaged in the race.
- (b) Except with the prior permission of the Stewards, a person must not enter or be permitted to enter the jockeys' room at a race meeting other than jockeys, riders and apprentices with a riding engagement.
- **21.6.** Licensed persons shall at all times when present on a racecourse in connection with the care, control, supervision or training of a horse, display his identification card when requested.

### 21.7.

- (a) No person shall without permission of the Stewards lead, accompany, assist or attempt to assist a horse to the starting barrier.
- (b) No person shall in any way interfere with any horse at the starting barrier.
- **21.8.** Any person whose Rider appears in colours other than those registered or declared at time of entry may be fined, or any rider who appears in colours other than those registered or declared at time of entry maybe be fined.
- **21.9.** Further to the provisions of AR.160B and without derogating from the provisions of AR.160C a bookmaker or bookmaker's clerk shall not without the permission of Stewards whilst betting is taking place on a racecourse bring onto, have in his possession or use any portable telephone, radio transmitter, radio transceiver or other appliance, apparatus, instrument or equipment capable of receiving or transmitting information that has not been approved by Office of Racing Integrity.
- **21.10.** All fines imposed pursuant to the Rules shall be paid within fourteen (14) days of the imposition of the fine unless otherwise directed by the Director of Racing.



TSD0048 - vs14

### **LOCAL RULE 22**

### **APPEALS**

### 22.1.

- (a) A person may appeal to the Tasmanian Racing Appeal Board (TRAB) if the person is -
  - (i) aggrieved by the decision of Tasracing or a registered club to issue the person with a warning-off notice under section 54 of the Racing Regulation Act 2004; or
  - (ii) aggrieved by the decision of the stewards to -
    - (1) impose a fine on the person; or
    - (2) impose a suspension or disqualification on the person or on a horse owned, leased or trained by the person.
- (b) However, an appeal does not lie to the TRAB in respect of a stewards' decision which alters the result of a horse race if the decision was made before the declaration of "correct weight".
- (c) The notice of appeal -
  - (i) Is to be in a form approved by the TRAB; and
  - (ii) Must specify the parties to the appeal, the relevant decision and the grounds of appeal.
- (d) The notice of appeal must be lodged with the secretary within
  - (i) In the case of a minor appeal, 7 days after the relevant decision is handed down; or
  - (ii) In the case of any other appeal, 14 days after the relevant decision is handed down

The notice must be accompanied by a deposit as determined by the Act from time to time.



TSD0048 - vs14

### **LOCAL RULE 23**

### **PRIZEMONEY**

### 23.1. Percentage of Prizemoney to Trainers

- (a) The fee payable to any Trainer of a horse earning prizemoney in any race run in the State of Tasmania when the value of the prize (excluding the value of any trophy) is in excess of \$100 shall be 10% thereof.
- (b) For the purpose of this Rule the value of the prize shall be its advertised value, but the prize shall not include any trophy won under the conditions of the race, nor any premium or bonus payable to the breeder or Nominator of a sire.

### 23.2. When Prizemoney Is To Be Paid Or Withheld

The prize including sweepstakes (if any), but excluding the fees payable under LR 23.1 and LR 23.2 above, of a race shall belong to the finally declared winner and Tasracing on behalf of the Committee of the Club shall render an account thereof to him or his agent and pay over the same within fifteen days of the conclusion of the meeting except:

- (a) When an action at law in respect of such prize is pending;
- (b) When an objection to the placegetter has been made and has not been decided;
- (c) When an appeal against the placegetter has been lodged and remains undecided;
- (d) When any runner in a race having earned prizemoney has been swabbed by order of the Stewards and the result of the said swab has not been notified to the Stewards.
- (e) When a matter, which is the subject of a dispute, before the Stewards remains undetermined.
- (f) In all or any of such cases, the prize shall be held by Tasracing on behalf of the Committee of the Club pending a final decision.



TSD0048 - vs14

### **LOCAL RULE 24**

### **RULES OF BETTING**

### 24.1.

- (a) Licensed person not to bet with person or organisation not licensed to accept bets No licensed person may place, or have placed on his/her behalf, a bet on any horse race run in Australia with any person or organisation not licensed to accept such a bet by a Principal Racing Authority or Australian State or Territory Government in Australia.
- (b) In the event of the Stewards permitting or authorising the withdrawal of one of the horses from a race after the time for scratching or if a horse is prevented from starting pursuant to the provisions of AR.134A, they may declare off all bets made on such horse for such race and they may also declare that all other bets made before such withdrawal (whether for a win or a place) on the horses remaining in the race shall stand, subject to the deduction to be calculated in accordance with the Table set out in Appendix "A" to this Rule.
- (c) Where more than one horse is so withdrawn or prevented from starting or where the odds prevailing for the one horse which is withdrawn or prevented from starting are outside the limits (at either end) of the odds enumerated in Appendix "A" the matter of the settlement of all bets shall be in the sole discretion of the Stewards to act as they see fit.
- (d) Should the Stewards in the exercise of their powers order Totalisator odds to be paid, such odds shall not be in excess of one hundred to one (100/1).



TSD0048 - vs14

## APPENDIX "A" - LR.24. (Updated January 2006)

## **SCHEDULE OF BETTING DEDUCTIONS**

Dividend	Imperial Conversion	Win, Doubles Deductions	3 Place @ 1/4	2 Place @ 1/3	Concession
1.05	1/20	0.80	0.30	0.44	0.70
1.06		0.80	0.30	0.44	0.70
1.07		0.80	0.30	0.44	0.70
1.08	1/12	0.80	0.30	0.44	0.70
1.09		0.80	0.30	0.44	0.70
1.10	1/10	0.80	0.30	0.44	0.70
1.12	1/8	0.80	0.30	0.44	0.70
1.14	1/7	0.80	0.30	0.44	0.70
1.16	1/6	0.80	0.30	0.44	0.70
1.18		0.79	0.30	0.44	0.70
1.20	1/5	0.78	0.30	0.44	0.70
1.22		0.77	0.30	0.44	0.70
1.24		0.75	0.29	0.43	0.68
1.26	1/4	0.74	0.29	0.43	0.66
1.28	2/7	0.73	0.29	0.43	0.66
1.30	1/3	0.72	0.29	0.42	0.64
1.35	4/11	0.69	0.29	0.42	0.62
1.40	2/5	0.67	0.28	0.41	0.61
1.45	4/9	0.64	0.28	0.41	0.59
1.50	1/2	0.62	0.28	0.40	0.56
1.55	8/15	0.60	0.27	0.39	0.55
1.60	4/7	0.58	0.27	0.39	0.53
1.65	4/6	0.57	0.27	0.38	0.52
1.70		0.55	0.27	0.38	0.50
1.75	8/11	0.53	0.26	0.37	0.48
1.80	4/5	0.52	0.26	0.37	0.47
1.85		0.51	0.26	0.36	0.46
1.90	9/10	0.49	0.25	0.36	0.45
1.95		0.48	0.25	0.35	0.43
2.00	Evens	0.47	0.25	0.35	0.42
2.05		0.46	0.25	0.35	0.41
2.10		0.45	0.24	0.34	0.40
2.15	10/9	0.43	0.24	0.34	0.39
2.20		0.42	0.24	0.33	0.38
2.25	5/4	0.42	0.24	0.33	0.38
2.30		0.41	0.24	0.33	0.37
2.35		0.40	0.23	0.32	0.37
2.40	11/8	0.39	0.23	0.32	0.36
2.45		0.38	0.23	0.32	0.35
2.50	6/4	0.37	0.23	0.31	0.35
2.60		0.36	0.22	0.30	0.33



TSD0048 - vs14

2.70	13/8	0.35	0.22	0.30	0.31
2.80	7/4	0.33	0.21	0.29	0.31
2.90	15/8	0.32	0.21	0.29	0.30
3.00	2/1	0.31	0.21	0.28	0.29
3.10		0.30	0.20	0.27	0.28
3.20		0.29	0.20	0.27	0.27
3.30	9/4	0.28	0.20	0.26	0.26
3.40		0.27	0.19	0.26	0.25
3.50	5/2	0.27	0.19	0.25	0.25
3.60		0.26	0.19	0.25	0.24
3.70		0.25	0.19	0.25	0.24
3.80	11/4	0.25	0.18	0.24	0.23
3.90		0.24	0.18	0.24	0.23
4.00	3/1	0.23	0.18	0.23	0.22
4.20	13/4	0.22	0.17	0.23	0.21
4.40		0.21	0.17	0.22	0.21
4.60	7/2	0.20	0.16	0.21	0.20
4.80	15/4	0.19	0.16	0.21	0.19
5.00	4/1	0.19	0.16	0.20	0.18
5.50	9/2	0.17	0.15	0.19	0.17
6.00	5/1	0.16	0.14	0.18	0.16
6.50	11/2	0.14	0.13	0.16	0.14
7.00	6/1	0.13	0.12	0.16	0.14
7.50	13/2	0.12	0.12	0.15	0.12
8.00	7/1	0.12	0.11	0.14	0.12
8.50	15/2	0.11	0.11	0.13	0.11
9.00	8/1	0.10	0.10	0.13	0.11
9.50		0.10	0.10	0.12	0.09
10.00	9/1	0.09	0.10	0.12	0.09
11.00	10/1	0.08	0.09	0.11	0.08
12.00	11/1	0.08	0.08	0.10	0.08
13.00	12/1	0.07	0.08	0.09	0.07
14.00		0.07	0.07	0.09	0.07
15.00	14/1	0.06	0.07	0.08	0.06
16.00	15/1	0.06	0.07	0.08	0.06
17.00	16/1	0.05	0.06	0.07	0.06
18.00		0.05	0.06	0.07	0.05
19.00		0.05	0.06	0.07	0.04
20.00		0.05	0.05	0.06	0.04
21.00	20/1	0.04	0.05	0.06	0.04
26.00	25/1	0.03	0.04	0.05	0.03
31.00	33/1	0.03	0.03	0.04	0.03
41.00	40/1	0.02	0.03	0.03	0.02
51.00	50/1	0.02	0.02	0.02	0.02
13.00 14.00 15.00 16.00 17.00 18.00 19.00 20.00 21.00 26.00 31.00 41.00	12/1 14/1 15/1 16/1 20/1 25/1 33/1 40/1	0.07 0.07 0.06 0.06 0.05 0.05 0.05 0.05 0.04 0.03 0.03 0.02	0.08 0.07 0.07 0.06 0.06 0.06 0.05 0.05 0.04 0.03 0.03	0.09 0.09 0.08 0.08 0.07 0.07 0.07 0.06 0.06 0.05 0.04 0.03	0.07 0.07 0.06 0.06 0.05 0.04 0.04 0.04 0.03 0.03



TSD0048 - vs14

### **LOCAL RULE 25**

### REGULATIONS CONTROLLING TASMANIAN RACE COURSES AND TRAINING TRACKS

### 25.1. Riding Boots

Every rider shall wear leather riding boots or alternatively rubber equestrian boots. Rubber gumboots are prohibited.

### 25.2. Working on Tracks

- (a) horses on the jogging track can only do slow work and/or light exercise. No fast work permitted.
- (b) horses doing slow work on the sand track, work on the inside of the track and give way to horses doing fast work on the outside of the track.
- (c) grass fast work only.
- (d) no horses shall be worked the reverse way on any track without special arrangements with the relevant authority.
  - If Trainers wish to work their horses in a manner which varies from the conditions set out in these Regulations then they must seek approval from the Track Official.
- (e) maximum number of horses which may work abreast at any time on respective tracks is as determined by the Stewards or the Track Official.
- (f) The number of horses working on each individual track is at the discretion of the Track Official in charge.
- (g) no horse may be led off another horse or hack when being exercised on a Course without permission of the Track Official.
- (h) smoking whilst mounted is prohibited.
- (i) working of horses prior to official daylight will be restricted to slow work only.
- (j) all riders who are working horses prior to daylight must wear a safety hat band *or* similar as approved by Stewards.
- (k) unruly horses may be required to exercise at specific times at the sole discretion of the Track Official.
- (I) exercising of horses in car parks and floating area is prohibited.
- (m) horses entering the training area must enter at the designated entrance.
- each horse, whilst present on a Course at any time, must wear a bridle or head collar with a bit attached.
- (o) any horse being ridden within a training establishment or on a Course must be fully saddled and bridled.
- (p) each horse, if not being ridden, must be led by an attendant. Attendants may only lead one horse at any one time.

### (q) Use of Training Facilities

All persons participating in the use of training facilities must hold an appropriate Licence, Permit or Registration and must have the necessary permission of the authorised controlling body.



TSD0048 - vs14

### 25.3. Opening and Closing Times

These will be displayed on a notice board at the Track Official's office and will be subject to variation without notice.

### 25.4. Training Fees

The fee for use of the facilities of a training establishment shall be as directed by Tasracing and must be paid in accordance with the requirements of Tasracing.

### 25.5. Training Facilities

The availability of these facilities for training is at all times at the discretion of Tasracing or its authorised officials of the relevant training establishment.

## 25.6. Person in Charge

No horse will be allowed to use the tracks, unless in the charge of a registered person.

### 25.7. Excluded Horses

Horses suffering from skin disease, influenza or any condition causing discharge from the nostrils may be excluded from the training establishment or a Course, at the discretion of the Track Official or Stewards.

### 25.8. Sand Rolls

No horse shall be left unattended or lunged in the rolling boxes. Attendants are responsible for removing manure of horses under their charge.

## 25.9. Horse Swimming Pool

Horses entering the pool must be led. It is the user's responsibility to ensure proper safety procedures are observed. The facility is available only at the user's risk.

### 25.10. Vehicles

No vehicles are permitted on-course without permission of the Track Official.

### 25.11. Special Gallops

Arrangements can be made for special gallops on the course proper and inside grass, outside the flags with the Secretary Manager/Racecourse Manager's permission only, at a fee as prescribed for each horse.

### 25.12. Riders

Trainers licensed by the Director shall not permit horses under their care to be ridden, on any Course, by any person other than a Rider as defined in AR.1.

### 25.13. Trespassers

No person will be allowed on any pretense whatsoever to trespass on any Course at any time without due authority.



TSD0048 - vs14

### 25.14. List of Approved Gear

Adopted by Tasracing as from 1 August 2003. In addition to the National Gear Register requirements, the following are to be read with and considered to be included as part of gear requirements.

- (a) Barrier Blanket The use of barrier blankets are permitted subject to the following conditions:
  - Design of blanket is approved by the Stewards and to include dual straps attaching to barrier.
  - (ii) Trainers must obtain approval to use barrier blanket on a horse. Any such horse must perform satisfactorily with a barrier blanket at either a jump out or a trial.
  - (iii) The trainer of a horse which is to wear a barrier blanket at the start shall bear the responsibility of ensuring that a barrier blanket is available for this purpose. All arrangements in this regard are to be made with the Starter.

## 25.15 Plating

- (a) Horses shall be attended by a Licensed Farrier or his Registered Employee, or a person holding a Restricted Plating Licence unless otherwise authorised by the Stewards.
- (b) Further to the provisions of AR.141A, no horse shall be permitted to start in an official Barrier Trial unless it wears shoes approved by the Stewards provided that the Stewards may in exceptional circumstances permit a horses or horses to run barefooted or partly shod.



TSD0048 - vs14

# LOCAL RULE – 26

## TRANSFER OF OWNERSHIP

## 26.1. Transfer of Ownership

Lodgement of transfer of ownership documents, including lease applications, must be submitted within fourteen (14) days of the transfer.